**FORM PTO-1390** 

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

071971-0549

U.S. APPLAC NO. (introving ee 37 CFF 1.5)

Not yet assigned

INTERNATIONAL APPLICATION NO PCT/JP2005/009269

6) PCT Request

7) 54 pages of Substitute Specification 8) 54 pages of Marked-up Specification

**INTERNATIONAL FILING DATE** 

May 20, 2005

PRIORITY DATE CLAIMED

June 21, 2004

TITLE OF INVENTION SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING THE SAME									
APPLICANTS FOR DO/EO/US Nobuo AOI, Hideo NAKAGAWA, Atsushi IKEDA									
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:									
1.	$\boxtimes$	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.							
2.		This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.							
3.		This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.							
4.	$\boxtimes$	The US has been elected (Article 31).							
5.		A copy of the International Application as filed (35 U.S.C. 371(c)(2))  a.  is attached herewith (required only if not transmitted by the International Bureau).  b.  has been communicated by the International Bureau.  c.  is not required, as the application was filed in the United States Receiving Office (RO/US)							
6.	$\boxtimes$	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).							
7.		Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  a.   are attached hereto (required only if not transmitted by the International Bureau).  b.   have been communicated by the International Bureau.  c.   have not been made; however, the time limit for making such amendment has NOT expired.  d.   have not been made and will not be made.							
8.		An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).							
9.	$\boxtimes$	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).							
10.		An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).							
Items 11	. to 16. bel	low concern other document(s) or information included:							
11.		An Information Disclosure Statement under 37 CFR 1.97 and 1.98.							
12.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.							
13.	$\boxtimes$	A preliminary amendment.							
14.		An Application Data Sheet under 37 CFR 1.76.							
15.		A substitute specification.							
16.		A power of attorney and/or change of address letter.							
17.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.							
18.		A second copy of the published International Application under 35 U.S.C. 154(d)(4).							
19.		A second copy of the English translation of the International Application under 35 U.S.C. 154(d)(4).							
20.		Other items or information.  1) Front Page of International Application  2) International Search Report and English Translation thereof  3) PCT/ISA/237  4) PCT/IB/301  5) PCT/IB/304							

U.S. APPLIC. NO. 6	known, sec	ATTORNEY'S DOCKET NUMBER 071971-0549							
			<u> </u>		CALCULATIONS	PTO USE ONLY			
21.   The following fees are submitted:									
	l Stage Fee		\$300.00						
If the written opinion		/ ISA/US or the Int aims satisfy provis	\$200.00						
If the written opinion IPEA/US indicated Search fee (37 CFR International Search	ates all claim R 1.445(a)(2)) Searching Au n Report prep	JS or the Internations satisfy provision has been paid or thority	\$400.00						
TOTAL OF 21, 22 a	and 23 =	\$900.00							
Additional fee sequence list The fee is \$25	ting or comp	\$0							
Total Sheets Ex	tra Sheets	_	additional 50 or fraction up to a whole number)	RATE					
73-100	0/50=		0	X\$250	\$0				
Surcharge of \$130.0 claimed priority date		_	claration later than 30 mg	onths from the earliest	<b>\$0</b>				
CLAIMS	NU	MBER FILED	NUMBER EXTRA	RATE					
Total Claims	26-20	=	6	x \$50.00	\$300.00				
Independent Claims	10-3 =	:	7	x \$200.00	\$1400.00				
Multiple dependent	claim(s) (if a	oplicable)		+ \$360.00	\$0				
		\$2600.00							
☐ Applicant claims	s small entity	status. See 37 CF	FR 1.27. Fees above are	reduced by 1/2.	\$				
				SUBTOTAL =	\$2600.00				
Processing fee of \$1 earliest claimed prio		•	\$0						
		\$2600.00	-						
Fee for recording the accompanied by an	e enclosed a appropriate	ssignment (37 CF cover sheet (37 C	<b>\$</b> 0						
			\$2600.00						
		Amount to be:refunded	\$						
		Amount to be charged	\$2600.00						
<ul> <li>a.</li></ul>									
Customer Number		/L 10.	May 5, 2006 DATE						
Telephone: 202.756				GNATURE ichael E. Fogarty	UATE				
Facsimile: 202.756.8087  NAME  36,139  REGISTRATION NUMBER									

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